05-10-2010

Bioveiligheidsraad Conseil de Biosécurité



Secretariaat Secrétariat

O./ref.: WIV-ISP/41/BAC/2010_0952

Title: Advice of the Belgian Biosafety Advisory Council on the application EFSA/GMO/UK/2007/48 from Syngenta under Regulation (EC) No. 1829/2003

Context

The application EFSA/GMO/UK/2007/48 was submitted by Syngenta on 14 November 2007 for the marketing (import and processing) of the insect resistant and glyphosate-tolerant genetically modified MIR604 x GA21 maize for food and feed uses under Regulation (EC) No. $1829/2003^{1}$.

The application was officially acknowledged by EFSA on 12 March 2008. On the same date EFSA started the formal three-month consultation period of the Member States, in accordance with Articles 6.4 and 18.4 of Regulation (EC) No. 1829/2003 (consultation of national Competent Authorities within the meaning of Directive 2001/18/EC designated by each Member State in the case of genetically modified organisms (GMOs) being part of the products).

Within the framework of this consultation, the Belgian Biosafety Advisory Council (BAC), under the supervision of a coordinator and with the assistance of its Secretariat, contacted experts to evaluate the dossier, chosen from the common list of experts drawn up by the Biosafety Advisory Council and the Division of Biosafety and Biotechnology (SBB). Nine experts answered positively to this request, and formulated a number of comments to the dossier, which were edited by the coordinator. See Annex I for an overview of all the comments and for the list of comments actually placed on the EFSAnet on 11 June 2008.

The opinion of the EFSA Scientific Panel on GMOs was adopted on 29 April 2010 (The EFSA Journal, 2010, 8 (5):1611)², and published together with the responses from the EFSA GMO Panel to comments submitted by the experts during the three-month consultation period.

On 20 May 2010 the opinion of EFSA was forwarded to the Belgian experts. They were invited to give comments and to react if needed to the answers given by the EFSA GMO Panel, in particular in case the comments formulated in their initial assessment of the dossier were not taken into account in the opinion of EFSA. In addition, the complementary information sent by the company to EFSA after 11 June 2008 was provided to the coordinator and to the sole experts who evaluated the toxicological and allergenic aspects of this GM maize. See Annex II for an overview of all the comments transmitted by the experts.

The comments formulated by the experts together with the opinion of EFSA including the answers of the EFSA GMO Panel form the basis of the advice of the Biosafety Advisory Council given below.



¹ Regulation (EC) No 1829/2003 of the European Parliament and of the Council of 22 September 2003 on genetically modified food and feed. (OJ L 268, 18.10.2003, p.1)
² See: <u>http://www.efsa.europa.eu/en/scdocs/scdoc/1611.htm</u>

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In addition, the scientific evaluations of the single events, namely maize line MIR604 (EFSA/GMO/UK/2005/11) and maize line GA21 (EFSA/GMO/UK/2005/19), are taken into account in this advice. Due to concerns about the potential allergenicity of the MIR604 maize the Biosafety Advisory Council formulated a negative advice for MIR604 GM maize. For GA21 due to shortcomings in the scientific quality of the data the Biosafety Advisory Council could not conclude on the safety of this GM event³.

Scientific evaluation

1. Environmental risk assessment

According to the Biosafety Advisory Council no major risks were identified concerning the $environment^4$.

2. Molecular characterisation

With regard to the molecular characterisation, the Biosafety Advisory Council is of the opinion that the information provided is sufficient and does not raise safety concerns.

3. Assessment of food/feed safety and nutritional value

3.1. Assessment of compositional analysis

With regard to compositional analysis, the Biosafety Advisory Council is of the opinion that the information provided is sufficient and does not raise safety concerns.

According to the EFSA Guidance on stacked events⁵, "As long as each event in the highest number of stacked events has been risk assessed, the risk assessment of the stacked events might also be applicable to GM stacks containing fewer of these events. Thus a single risk assessment of such a stack could cover all combinations with fewer of these events", Although the BAC may follow this statement in this context, the BAC is of the opinion that the applicant should give results of the applied material, according to the principles of good science.

3.2. Assessment of toxicity

With regard to toxicity, the Biosafety Advisory Council is of the opinion that the information provided is sufficient and does not raise safety concerns.

3.3. Assessment of allergenicity

A majority of the members of the BAC supports the following opinion :

New data presented by the company do not take away all reserves regarding potential allergenicity of the transgene protein. Although the 29,6% homology between the MIR604 PMI and Hev b13 (a known allergen) is below the 35% level, used by the Codex Alimentarius,



 $^{^3}$ Advice of BAC on maize line MIR604: BAC_2009_01365; Advice of BAC on maize line GA21: BAC_2007_SC_614

⁴ As the application doesn't imply a cultivation of the GM crop in the EU, a full environmental assessment is not required in EFSA procedure and was not achieved.

⁵ Guidance Document of the Scientific Panel on Genetically Modified Organisms for the risk assessment of genetically modified plants containing stacked transformation events. *The EFSA Journal (2007) 512, 1-5*

the company failed to convince the members of the BAC by presenting exclusively data on sequence homology and by not presenting appropriate data from *in vitro* and/or *in vivo* tests which could have taken away the doubts.

In addition, the Biosafety Advisory Council recommends following up any unanticipated allergenicity aspects of the GM plant in monitoring systems.

A minority of the members supports the following opinion :

The fact that the PMI protein shows a 29,6% homology with the Hev b 13 latex protein has correctly resulted in questions to provide additional information on its potential allergenicity. The additional data provided by the notifier mostly concern in silico analyses, but also include the results of an IgE binding test to frog alpha-parvalbumin. Taken together with the fact that the PMI protein is fully identical to native E.coli PMI protein, and where E.coli is not known to cause allergic reactions and is abundantly present in the human gut, this results in a extremely low probability of the PMI protein to cause any allergic reactions.

3.4. Nutritional value

The Biosafety Advisory Council is of the opinion that the information provided is sufficient and shows the nutritional equivalence of the GM maize with its non-GM counterpart and conventional maize varieties.

4. Monitoring

As the allergenicity of the whole GM maize has not been assessed, it is recommended to take up monitoring of allergenicity as part of the general surveillance.



Conclusion

Based on the scientific assessment of the dossier done by the Belgian experts, taking into account the opinion of EFSA, the answers of the EFSA GMO Panel to the questions raised by the Belgian experts, the answers of the applicant to the EFSA GMO Panel questions and considering the data presently available, the Biosafety Advisory Council,

Agrees with the GMO panel of EFSA that a) No major risks concerning the environment were identified. b) No major risks for animal health were identified.

A minority of the members of the BAC agrees with the GMO panel of EFSA when it says that the maize MIR604 x GA21 is unlikely to have an adverse effect on human health in the context of its intended uses. A majority disagrees, since identified potential allergenicity of the transgene PMI protein has not been tested *in vitro* on serum of patients allergic to latex nor by appropriate *in vivo* tests.

The BAC therefore cannot give a univocal conclusive advice for the placing on the market of the insect-resistant genetically modified maize MIR604 x GA21.

Prof. D. Reheul President of the Belgian Biosafety Advisory Council

Annex I: Full comments of experts in charge of evaluating application EFSA/GMO/UK/2007/48 and Comments submitted on the EFSAnet (ref. BAC_2008_767) Annex II: Compilation of comments of experts in charge of evaluating the additional information received for application EFSA/GMO/UK/2007/48 (ref. BAC_2010_0518)

11/06/2008

Bioveiligheidsraad Conseil de Biosécurité



Secretariaat Secrétariat

<u>N./réf. :</u> WIV-ISP/BAC/2008_767 <u>Email</u>. : bac@sbb.ihe.be

Compilation of comments of experts in charge of evaluating the application EFSA/GMO/UK/2007/48 and Comments submitted on the EFSAnet on mandate of the Biosafety Council

Mandate for the Group of Experts: mandate of the Biosafety Advisory Council (BAC) of 28 March 2008

Coordinator: Prof. Thierry Hance

Experts: Pascal Cadot (Consultant), Rony Geers (KUL), Jean-Claude Grégoire (ULB), André Huyghebaert (UGent), Jean-Pierre Maelfait (UGent), Peter Smet (Consultant), Wim Stevens (UIA), Frank Van Breusegem (VIB), Johan Van Waes (ILVO)

Domains of expertise of experts involved: Genetics, genome analysis, genetic engineering, analysis of food/feed, industrial processing, toxicology, immunology, alimentary allergology, animal nutrition, traceability of alimentary chain, agronomy, crop protection, crop production management, herbicide tolerance, ecology, plant-insect relations, effect on non-target species, risk analysis, monitoring, nature conservation, biosafety research, maize

Secretariat (SBB): Didier Breyer, Adinda De Schrijver, Martine Goossens, Philippe Herman

INTRODUCTION

Dossier EFSA/GMO/UK/2007/48 concerns an application of the company Syngenta for the marketing of the genetically modified maize MIR604 x GA21 for food and feed applications under Regulation (EC) 1829/2003.

The application has been officially acknowledged by EFSA on 12 March 2008.

The scope of the application is:

- \boxtimes GM plants for food use
- \boxtimes Food containing or consisting of GM plants
- Solution Food produced from GM plants or containing ingredients produced from GM plants
- GM plants for feed use
- \boxtimes Feed produced from GM plants
- Import and processing (Part C of Directive 2001/18/EC)

Seeds and plant propagating material for cultivation in European Union (Part C of Directive 2001/18/EC)



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Depending on their expertise, the experts were asked to evaluate the genetically modified plant considered in the application on its 1) molecular, 2) environmental, 3) allergenicity, 4) toxicity and/or 5) food and feed aspects. It was expected that the expert should evaluate if the information provided in the application is sufficient in order to state that the marketing of the genetically modified plant for its intended uses, will not raise any problems for the environment or human or animal health. If information is lacking, the expert was asked to indicate which information should be provided and what the scientifically reasoning is behind this demand.

The comments are structured as in the "Guidance document of the scientific panel on genetically modified organisms for the risk assessment of genetically modified plants and derived food and feed" (EFSA Journal (2004), 99, 1-94). Items are left blank when no comments have been received either because the expert(s) focused on other related aspects, or because for this dossier the panel of experts who accepted to evaluate the dossier didn't have the needed expertise to review this part of the dossier.

It should be noted that all the comments received from the experts are considered in the evaluation of this dossier and in formulating the final advice of the Biosafety Advisory Council. Comments placed on the EFSAnet are indicated in grey.



List of comments received from the experts

A. GENERAL INFORMATION

Comments/Questions of the expert(s)

Comment 1

According to the dossier the scope of application does not include the authorization for the cultivation of MIR604 x GA21 maize seed products in the EU in the framework of the Directive 2001/18/EC. It can however be valuable to give some remarks on the different topics, dealing with cultivation and survivability of seeds, in the case that the applicant should ask in the near future for an extension for the scope of cultivation.

So as agronomical expert I will also give some comments in this questionnaire, related to the cultivation, the agronomical value and some environmental aspects.

Comment 2

No comments

Comment 3

NB – My competence is in the environmental effects of GM plants; therefore my contribution in this dossier will be limited. Every time I will feel that the question asked is out of my field, I will use this "No comment/question" reply.

B. INFORMATION RELATING TO THE RECIPIENT OR (WHERE APPROPRIATE) PARENTAL PLANTS

Comments/Questions of the expert(s)

Comment 1

No comments

C. INFORMATION RELATING TO THE GENETIC MODIFICATION

Comments/Questions of the expert(s)

Comment 1

No comments



D. INFORMATION RELATING TO THE GM PLANT

D.1 DESCRIPTION OF THE TRAITS AND CHARACTERISTICS WHICH HAVE BEEN INTRODUCED OR MODIFIED

Comments/Questions of the expert(s)

Comment 1

One remark: In the Summary under 8. General description of the product point a) I have noticed that the GM plant is resistant to glyphosate. I see here no reference to resistance to glufosinate ammonium. However under 8. General description of the product point d) it is written that the GMO-plant is tolerant to glyphosate or glufosinate ammonium. Is this correct?

Comment from the SBB: This is probably a mistake in the Summary.

mEPSPS confers tolerance to glyphosate.

Comment 2

No comments

Additional comment from the coordinator

Page 19, of 59, technical dossier, under the section MIR604 Maize CRY3A, a sentence speaks about "specificity for coleopteran and no effect reported on non target organism." In fact Coleopteran represent the most diverse Order of Eucaryotic organisms on Earth with more than 300.000 species described and probably well more still to discover. Among those, max 5 % could be considered as pest organisms, a lot of Coleoptera are non-pest organism and even auxiliaries for the farmer. It is an important point to remind when the concept of specificity is used.

D.2. INFORMATION ON THE SEQUENCES ACTUALLY INSERTED OR DELETED

Comments/Questions of the expert(s)

Comment 1

No comments



D.3. INFORMATION ON THE EXPRESSION OF THE INSERT

Comments/Questions of the expert(s)

Comment 1

No comments

Additional comment from the coordinator

The applicant claims that: "Although some statistically differences were seen, they were small or not constant across growing season." First of all, the quantification using an Elisa technique is not linearly proportional to the true concentration in the plant. So little differences could reflect a more important change in actual concentration. Secondly, in this case, it is not the season that changes but the physiological stage of the plant, and genes are not expressed in the same way according to physiological stage. So, I do not understand why applicant says that differences are not consistent although significant!!! Moreover, if we have a look on annex 2, it appears that the number of replicates is quite low, 5, sometimes just 3 plants and that the data on pollen are not usable!

D.4. INFORMATION ON HOW THE GM PLANT DIFFERS FROM THE RECIPIENT PLANT IN: REPRODUCTION, DISSEMINATION, SURVIVABILITY

Comments/Questions of the expert(s)

Comment 1

Remarks concerning the survivability of seeds of maize. In the dossier it is mentioned that maize seed can only survive under a narrow range of climatic conditions. Volunteers are killed by frost. This is correct but from our experience maize seeds can survive in the soil during a not so severe winter. It can happen that out of full ears, fallen on the ground at harvest and after labouring of the land, covered with soil, some seeds survive and give plantlets during the next season. So here in the case of GMO-plants it will be necessary to have a follow up of the fields in the next year to detect for surviving plants. This information is only relevant if at a certain moment the scope would be extended to cultivation in Northern and Western Europe with moderate to cold winter conditions.

Comment from the SBB: The above comment is not relevant for this dossier.

Comment 2

No comments



D5. GENETIC STABILITY OF THE INSERT AND PHENOTYPIC STABILITY OF THE GM PLANT

Comments/Questions of the expert(s)

Comment 1

No comments

D.6. ANY CHANGE TO THE ABILITY OF THE GM PLANT TO TRANSFERR GENETIC MATERIAL TO OTHER ORGANISMS

Comments/Questions of the expert(s)

D.7. INFORMATION ON ANY TOXIC, ALLERGENIC OR OTHER HARMFUL EFFECTS ON HUMAN OR ANIMAL HEALTH ARISING FROM THE GM FOOD/FEED

General comment from the coordinator

1) Maize is known to contain a lot of hydroxamic acids and derivative compounds (Cambier *et al.*, 1999). These aromatic compounds are potentially toxic and play a major role in maize resistance against insect and diseases. A recent paper of Nie *et al.*, 2005 investigated the status of DIMBOA (2,4-dihydroxy-7-methoxy-1,4-benzoxazin-3-one) and phenolic acids in leaves of some transgenic Bt corn hybrids. They showed that the introduction of Bt gene could have adverse effects on the biosynthesis and accumulation of DIMBOA and some phenolic acids, such as ferulic acid, in the corn plants. Cry3A is a Bt gene. I am quite surprised that change in the concentration of these compounds was not investigated here as they are now commonly use as indicators of resistance by maize breeders, but also can influence food and feed toxicity and allergenicity.

2) The GM plant for which authorization is asked here is MIR604 x GA21. I do not understand why the applicant made the comparative assessment with the Bt11 x MIR604 x GA21 GM maize? They used of a « third level » stacked GM. Indeed, the applicant claims that the best way to see an adverse effect is to combine the three events. However we know absolutely nothing on a possible interaction between Bt11 and Mir6004 gene expression and consequence on plant physiology. I mean that possible antagonist effects may appear that will not be present in MIR604 x GA21 alone and that may mask changes due to the MIR604 X GA21 construction.

Why in that case not directly introduce all the dossiers on that Bt11 x MIR604 x GA21 GM maize ?

D.7.1 Comparative assessment

Comments/Questions of the expert(s)

Comment 1

In this chapter it is mentioned that MIR604 x GA21 maize was compared with relevant control maize lines that had not been genetically modified. Commercial varieties were also included in the



BAC_2008_767.doc

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comparison where possible. What does it mean? The MIR604 x GA21 is tolerant to glyphosate. So I think it is not possible to compare with commercial varieties, unless they are also tolerant to glyphosate (= are also genetically modified).

Comment from the coordinator: the MIR604 x GA21 is precisely the modified character?

Comment 2

Of the 56 analytes measured in grain, statistically significant differences were noted for levels of total dietary fiber (TDF) and fat, vitamin E (α -tocopherol), and linoleic fatty acid. The average values of all analytes measured for both the MIR604 x GA21 (measured in Bt11 x MIR604 x GA21) grain and the nontransgenic grain were within the ranges reported in the literature.

Comment 3

Maize MIR604xGA21 is compared with relevant control maize lines and commercial varieties. This is a traditional approach. No comment.

D.7.2 Production of material for comparative assessment

Comments/Questions of the expert(s)

Comment 1

Material is produced at different locations and under different environmental conditions. No comment

Additional comment from the coordinator

Annexe 4: Significant differences are observed in proteins and in most amino acids. The applicant says that these differences are under the range of ISLI values. However, these ranges are so broad that they have nearly no sense as a comparison base. Such differences in amino acids, indicate that specific proteins may vary a lot. A proteomic analyses should be well more informative to identify non-desired changes in protein composition. Based on the amino acids difference in composition, I could not see how it is possible assess a compositional equivalence.

D.7.3 Selection of material and compounds for analysis

Comments/Questions of the expert(s)

Comment 1

The OECD document is followed for the selection of compounds for analysis. Analysis of maize includes proximates (including starch), minerals, amino acids, fatty acids, vitamins, anti-nutrients and secondary plant metabolites. Forage analysis includes proximates and minerals.



Results of grain analysis include:

- proximates: the OECD approach (I will not repeat my comment on fibre)
- minerals: important minerals are included not only the usual minerals like calcium, phosphorous, potassium, sodium, magnesium, but also iron, copper, manganese, zinc and even selenium
- vitamins: all relevant vitamins are covered,
- amino acids: relevant constituents are included,
- fatty acids: nutritionally important fatty acids are covered
- secondary metabolites and anti-nutrients: a broad range of constituents is included.

This is an in depth analysis with respect to the selection of constituents for analysis.

If any statistically difference is observed, data are within the range of reported values in literature.

The applicants concludes that Maize MIR604xGA21 is compositionally equivalent to conventional maize.

I agree with this conclusion.

Additional comment from the coordinator

Hydroxamic acids composition and concentration are lacking.

D.7.4 Agronomic traits

Comments/Questions of the expert(s)

Comment 1

First of all I agree with the important remark of the applicant that measurement and observation of agronomic characteristics can add to the assessment of unintended effects of the genetic modification. The MIR604 x GA21 maize was tested in the USA during the 2005 growing season. The results of these trials suggest that there is no statistically significant difference in grain yield or agronomic performance between the MIR604 x GA21 maize hybrids and the corresponding near-isogenic hybrids. So my remark: The results are only based on 1 year trials and the year effect can be given significant effects.

And furthermore : were the trials treated against herbs with glufosinate ammonium or glyphosate so as to evaluate the real potential of the new hybrids?

Comment of the coordinator: As far as I can see in the Annexes, the trial plants were well treated with glyphosate.

Comment 2

The information received is satisfactory. In principle, this question should be non relevant in the present application (provided there is no spillage), as the application only concerns food and feed uses for Bt11 maize.



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D.7.5 Product specification

Comments/Questions of the expert(s)

Comment 1

The applicant refers to the history of safe use of maize for human food and animal feed. As there is no difference with the conventional counterpart, the applicant concludes that Maize MIR604xGA21 is equivalent.

The applicant states that no significant native toxin is associated with maize.

No further questions.

It is however well known that maize is quite sensitive to mycotoxins, including aflatoxins. Due to the introduction of the traits, no changes in sensitivity are to be expected. However it would be of interest to pay attention to this aspect in post market surveys.

D.7.6 Effect of processing

Comments/Questions of the expert(s)

Comment 1

Maize MIR604xGA21 will be processed in the same way as commercial varieties. No effect on processing is to be expected.

D.7.7 Anticipated intake/extent of use

Comments/Questions of the expert(s)

Comment 1

There are no anticipated changes to the intake due to the introduction of Maize MIR604xGA21.

D.7.8 Toxicology

D. 7.8.1 Safety assessment of newly expressed proteins

Comments/Questions of the expert(s)

Comment 1

Mean concentrations of mCry3A and PMI proteins are indeed comparable in both MIR604 maize and MIR604 x GA21 maize.



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a) mCry3A protein measured in MIR604 maize

Growth stage/	ng/mg Tissue Dry Weight		Standard deviation
Tissue	Mean	Range	
Leaves (V9-V12)	36.0	36.2-40.0	3.2
Leaves (Anthesis)	27.2	22.5-34.7	4.4
Leaves (Seed Maturity)	34.3	17.5-46.3	10.2
Roots (V9-V12)	21.8	15.9-25.8	3.5
Roots (Anthesis)	20.2	17.9-23.3	2.2
Roots (Seed Maturity)	15.8	12.1-18.5	2.5
Kernels (Seed Maturity)	0.48	0.33-0.79	0.13
Pollen (Anthesis) ¹	< LOQ		

b) mCry3A protein measured in MIR604 x GA21 maize

Growth stage/	ng/mg Tissue Dry Weight		Standard deviation
Tissue	Mean	Range	
Leaves (V9-V12)	33.9	32.5-36.5	3.2
Leaves (Anthesis)	35.1	28.5-38.6	4.4
Leaves (Seed Maturity)	33.7	28.8-45.8	10.2
Roots (V9-V12)	19.2	15.9-22.2	3.5
Roots (Anthesis)	19.2	16.3-22.1	2.2
Roots (Seed Maturity)	17.6	15.3-21.1	2.5
Kernels (Seed Maturity)	0.45	0.37-0.52	0.13
Pollen (Anthesis) ¹	< LOQ		

Only one standard deviation is given for both MIR604 and MIR604 x GA21 maize. Why?



c) PMI protein measured in MIR604 maize

Growth stage/	ng/mg Tissue Dry Weight		Standard deviation
Tissue	Mean	Range	
Leaves (V9-V12)	5.1	4.5-5.8	0.6
Leaves (Anthesis)	5.9	5.7-6.4	0.6
Leaves (Seed Maturity)	2.7	1.6-3.3	0.7
Roots (V9-V12)	5.9	4.2-6.6	1.2
Roots (Anthesis)	4.3	3.9-5.0	1.0
Roots (Seed Maturity)	3.0	1.6-4.2	0.9
Kernels (Seed Maturity)	1.6	1.2-2.0	0.3
Pollen (Anthesis) ¹		15.6-30.7	

No data for pollen, concerning the mean and standard deviation, are given.

d) PMI protein measured in MIR604 x GA21 maize

Growth stage/	ng/mg Tissue Dry Weight		Standard deviation
Tissue	Mean	Range	
Leaves (V9-V12)	4.7	4.0-5.3	0.6
Leaves (Anthesis)	5.6	4.6-6.4	0.6
Leaves (Seed Maturity)	2.7	2.0-3.8	0.7
Roots (V9-V12)	4.5	2.7-5.5	1.2
Roots (Anthesis)	4.5	3.2-6.6	1.0
Roots (Seed Maturity)	2.8	2.3-3.2	0.9
Kernels (Seed Maturity)	1.5	1.2-1.7	0.3
Pollen (Anthesis) ¹		22.4-33.7	

No data for pollen, concerning the mean and standard deviation, are given. Only one standard deviation is given for both MIR604 and MIR604 x GA21 maize. Why?



Mean concentration of mEPSPS protein is indeed comparable in both GA21 maize and MIR604 x GA21 maize.

e) mEPSPS	protein measured	in GA21 maize

Growth stage/	ng/mg Tissue Dry Weight		Standard deviation
Tissue	Mean	Range	
Leaves (V9-V12)	27.2	23.0-30.4	2.5
Leaves (Anthesis)	32.2	27.3-40.3	3.8
Leaves (Seed Maturity)	10.7	7.3-14.8	2.4
Roots (V9-V12)	10.9	9.1-12.1	1.4
Roots (Anthesis)	14.3	12.3-17.6	1.8
Roots (Seed Maturity)	9.1	6.5-11.5	1.4
Kernels (Seed Maturity)	3.3	2.8-3.6	0.3
Pollen (Anthesis) ¹		79.7-90.2	

No data for pollen, concerning the mean and standard deviation, are given.

f) mEPSPS protein measured in MIR604 x GA21 maize

Growth stage/	ng/mg Tissue Dry Weight		Standard deviation
Tissue	Mean	Range	
Leaves (V9-V12)	26.3	24.5-28.2	9.21
Leaves (Anthesis)	32.5	28.9-35.4	6.73
Leaves (Seed Maturity)	11.9	10.3-14.2	6.92
Roots (V9-V12)	14.3	12.3-15.8	7.04
Roots (Anthesis)	11.8	10.2-13.7	1.07
Roots (Seed Maturity)	6.1	5.3-6.6	0.87
Kernels (Seed Maturity)	2.7	2.4-3.0	0.50
Pollen (Anthesis) ¹		82.6-97.7	



No data for pollen, concerning the mean and standard deviation, are given. Only one standard deviation is given for both GA21 and MIR604 x GA21 maize. Why?

a) Degradation of the Cry3A protein in simulated gastric fluid (author).

I did not evaluate dossier 11. The technical dossier states that the mCry3A protein is readily degraded in *in vitro* digestibility assays.

b) Degradation of the Cry3A protein in simulated intestinal fluid (author).

I did not evaluate dossier 11. The technical dossier states that the mCry3A protein is readily degraded in *in vitro* digestibility assays.

c) Degradation of the PMI protein in simulated gastric fluid (author).

Not mentioned. Has this test been performed? If not, why isn't it performed?

d) Degradation of the PMI protein in simulated intestinal fluid (author).

Not mentioned. Has this test been performed? If not, why isn't it performed?

e) Degradation of the mEPSPS protein in simulated gastric fluid (author).

Test was previously performed. Rapid digestion was demonstrated.

f) Degradation of the mEPSPS protein in simulated intestinal fluid (author).

Test was previously performed. Rapid digestion was demonstrated.

g) Cry3A: Acute Oral Toxicity Study in Mice (author).

Lack of acute toxicity was demonstrated earlier. No further testing is needed.

h) PMI: Acute Oral Toxicity Study in Mice (author).

Lack of acute toxicity was demonstrated earlier. No further testing is needed.

i) mEPSPS: Acute Oral Toxicity Study in Mice (author).

Lack of acute toxicity was demonstrated earlier. No further testing is needed.

D.7.8.2 Testing of new constituents other than proteins

Comments/Questions of the expert(s)



D.7.8.3 Information on natural food and feed constituents

Comments/Questions of the expert(s)

D.7.8.4 Testing of the whole GM food/feed

Comments/Questions of the expert(s)

Comment 1

a) 42-day poultry feeding study (Brake, 2007)

The results of this study showed that the consumption of poultry diets containing MIR604 x GA21 maize grain did not cause any adverse effects on broiler chickens. All diets supported rapid broiler chicken growth at low mortality rates and excellent feed conversion ratios without significant impact on overall carcass yield or quality. The study showed that the transgenic maize had no deleterious effects on broiler chickens.

b) 90-Day rat feeding study (author).

Not performed.

The composition of the genetically modified plant is not substantially modified, except for the inserted traits. So, at this time, **further testing is not recommended**.

D.7.9 Allergenicity

Comments/Questions of the expert(s)

Comment 1

The MIR604 x GA21 maize inherited the mCry3A and MIR604 pmi genes and the mepsps gene. As far as allergenicity of the derived proteins is concerned (EFSA-GMO-UK-2007-48, Syngenta MIR604 x GA21 maize, part I: technical dossier, p 40-41) it is stated that the MIR604 x GA21 maize express three transgenic proteins:

- mCry3A

- MIR604 PMI and

- mEPSPS.

MIR604 and GA21 maize have been previously assessed for their allergenic potential. The following argumentation was used to prove non allergenicity of the proteins:

• The sources of the transgenes were considered. None of the three proteins expressed in MIR604 x GA21 come from donors with allergenic potential.



• An extensive bioinformatics search for sequence homologies and structural similarities between the expressed proteins and known allergens was performed. The results demonstrated that the mCry3A, MIR604 PMI and mEPSPS proteins show no homology to any known or putative allergenic proteins.

• One region of sequence homology of eight contiguous identical amino acids between MIR604 PMI and a known allergen was identified. The potential for an allergic reaction in individuals already sensitised to the allergenic protein was assessed by specific serum screening methodology. The results of the serum screening analysis demonstrated no cross-reactivity between the allergic patient's serum IgE and MIR604 PMI. Details of this assessment can be found in Application EFSA-GMO-UK-2005-11, Part I, Appendix 3.

A sequence identity greater than 35% between one of the sequential MIR604 PMI 80- amino acid peptides and an allergen from Hevea brasiliensis (Hev b 13) was also found. However close comparison of MIR604 PMI and Hev b 13 led to the conclusion that the elements that were responsible for the allergenicity of Hev b 13 were not present in MIR604 PMI and it could therefore be concluded that MIR604 PMI was unlikely to be an allergen. (Details of these this analysis can be found in application EFSA-GMO-UK-2005-11, additional information sent by Syngenta on 27th of June 2007 and in Appendix 7 of this application).

• The susceptibility of mCry3A, MIR604 PMI and mEPSPS proteins to proteolytic degradation was evaluated in simulated mammalian gastric fluid (SGF) containing pepsin. All the proteins were readily degraded in SGF. No intact or immunoreactive fragments were detected following digestion in SGF for 2 minutes. These data support the conclusion that mCry3A, MIR604 PMI and mEPSPS will be readily digested as conventional dietary protein under typical mammalian gastric conditions.

In appendix 7 of the report it is stated that two homologies were found:

- one with Hev b 13 (natural rubber latex, Hevea brasiliensis) and
- one with alpha parvalbumin from Rana sp.

It is argued that the Hev b 13 protein is only allergenic when glycosylated and that the maize protein is not glycosylated.

The parvalbumin is a very common allergen with existing cross reactivity between parvalbumins of different species (fish, frog) (Hilger et al. 2004, Ebo and Stevens, 2001).

Because of the partial identity of both proteins extreme care has to be taken and it would be wise to test the proteins with a wide array of sera of patients allergic to natural rubber latex on one hand and fish allergy on the other hand. Follow up after the use of these proteins is mandatory and warning about the presence of these proteins with partial identity with Hev b 13 and parvalbumin seems advisable.

Comment 2

This evaluation is a combination of parts of the comments on the dossiers Bt11 x GA21 and Bt11 x MIR604, as the same remarks concerning GA21 and MIR604 apply. There is no new information in this dossier that could have modified the comments made on dossiers Bt11 x GA21 and Bt11 x MIR604.

Assessment of the allergenicity of the newly expressed proteins.

As stated by the applicant, mCry3a and mEPSPS are unlikely to be allergenic.



The case of MIR604 PMI might be an issue. In a previous dossier, it was shown to possibly crossreact with alpha-parvalbumin from a certain *Rana* species. This, however, was rightly shown by the applicant, with patient serum testing, to be non-relevant.

In the new dossier, the applicant describes possible cross-reactivity with a moderately important latex allergen, Hev b 13. Citation of the applicant: "when using the more appropriate method of determining percent identity and taking the full alignment length into account, as supported by the FARRP database, the MIR604 PMI – Hev b13 homology is only 29.6% (29 identities/ 98aa alignment). This is not considered a significant allergen homology as per the guidelines set by the Codex Alimentarius Commission (2003)."

The reviewer agrees that sequence comparison on full alignment length is more appropriate to evaluate identity with allergens. However, conversely to what is stated in the guidelines of the Codex Alimentarius, a homology of 29.6% could be an issue, as this represents 29 identical aminoacids between the two proteins, enough to construct several cross-reactive epitopes. Therefore, it is required that the reactivity of PMI be evaluated on patients allergic to Hev b 13 by using in vivo (skin tests) and/or in vitro (IgE binding) techniques.

Assessment of the allergenicity of the whole GM plant or crop.

The applicant did not assess the allergenicity of the whole GM plant. Conversely to what is stated by the applicant, maize allergy has been described, though it is not recognized as a major allergen source. Some maize allergens have already been described in the literature (Pastorello et al. 2003; Pasini et al. 2002, Weichel et al. 2006).

Due to the introduction of the three new traits described in the application, over-expression of endogenous proteins, among them the maize allergens, might occur. Therefore, it appears as relevant to analyze whether the expression levels of known maize allergens is increased in genetically modified MIR604 x GA21 maize grains. Patient IgE binding to maize grain extract or titration of known major allergens of maize should be carried out.

Above comments as summarized by the coordinator

Two homologies were found when testing for allergenicity:

- one with Hev b 13 (natural rubber latex, Hevea brasiliensis) and
- one with alpha parvalbumin from Rana sp.

The parvalbumin is a very common allergen with existing cross reactivity between parvalbumins of different species (fish, frog) (Hilger et al. 2004, Ebo and Stevens, 2001).

Therefore it is :

1) highly recommended to test the reactivity of these proteins on patients with a known allergy to Hev b13 and parvalbumine by using in vivo (skin) tests or in vitro (IgE binding) techniques.

2) to organise a follow up after the introduction of these proteins and to warn people on the presence of them.

The applicant did not assess the allergenicity of the whole GM plant. Conversely to what is stated by the applicant, maize allergy has been described, though it is not recognized as a major allergen source. Some maize allergens have already been described in the literature (Pastorello et al. 2003; Pasini et al. 2002, Weichel et al. 2006).

Due to the introduction of the three new traits described in the application, over-expression of endogenous proteins, among them the maize allergens, might occur. Therefore, it appears as relevant



to analyze whether the expression levels of known maize allergens is increased in genetically modified MIR604 x GA21 maize grains. Patient IgE binding to maize grain extract or titration of known major allergens of maize should be carried out.

D.7.10 Nutritional assessment of GM food/feed

Comments/Questions of the expert(s)

D.7.11 Post-market monitoring of GM food/feed

Comments/Questions of the expert(s)

D.8. MECHANISM OF INTERACTION BETWEEN THE GM PLANT AND TARGET ORGANISMS (IF APPLICABLE)

Comments/Questions of the expert(s)

D.9. POTENTIAL CHANGES IN THE INTERACTIONS BETWEEN THE GM PLANT WITH THE BIOTIC ENVIRONMENT RESULTING FROM THE GENETIC MODIFICATION

D.9.1. Persistence and invasiveness

Comments/Questions of the expert(s)

Comment 1

I agree that the risks (should spillage occur) are extremely low, as maize does not reproduce outside of cultivation.

Comment 2

Provided information: sufficient

D.9.2 Selective advantage or disadvantage

Comments/Questions of the expert(s)

Comment 1

Provided information: sufficient



D.9.3 Potential for gene transfer

Comments/Questions of the expert(s)

Comment 1

There is a high probability that (spillage + establishment + contamination) will be limited at some parts of the itinerary (e g at ports), but this holds not necessarily true along the transportation routes. Even though it can not survive the winter, maize from spilled seeds can develop one generation on the sites of spilling, leading to potential dissemination of pollen. 1% of the pollen beyond 50 m (Sears and Stanley-Horn, 2000) does not seem negligible to me. If we do not know the routes, we do not know if maize is grown along the roads

More specific details are needed regarding the packing and other means of confinement during transportation and storage, as well as measures to be taken in case of accidental spillage.

Additional comment from the coordinator

Because of seed availability, the risk of illegal use for cultivation of this GM maize could not be excluded as other cases have been shown in the past. What is foreseen in that case?

Comment 2

Provided information: sufficient

D.9.4 Interactions between the GM plant and target organism

Comments/Questions of the expert(s)

Comment 1

Not applicable

D.9.5 Interactions of the GM plant with non-target organism

Comments/Questions of the expert(s)

Comment 1

Provided information: sufficient



D.9.6 Effects on human health

Comments/Questions of the expert(s)

Comment 1

The number of animals in the broiler trial is sufficient for the power necessary in the statistical analysis.

D.9.7 Effects on animal health

Comments/Questions of the expert(s)

D.9.8 Effects on biogeochemical processes

Comments/Questions of the expert(s)

Comment 1

Provided information: sufficient

D.9.9 Impacts of the specific cultivation, management and harvesting techniques

Comments/Questions of the expert(s)

Comment 1

In this paragraph it is mentioned again that the scope of application does not include cultivation of maize plants of MIR604 x GA21 maize in the EU. Nevertheless I give here some remarks in the case that the applicant should ask in the near future for an extension for the scope of cultivation. In the framework of the EU- regulation 2002/53 a new variety have to be submitted to DUS (Distinctness, Uniformity, Stability) and VCU (Value for Cultivation and Use) tests before the variety can be commercialised. The new variety has to be compared with the best existing standard varieties. So my question here is : can the GM- maize be incorporated in normal VCU trials, for example treated with specific herbicides for maize and will the agronomical value be the same as tested in trials, where herbicides for which the variety is tolerant were used?

Comment from the SBB: The above comment is not relevant for this dossier.

Comment 2

Not relevant here.



Comment 3

Not applicable

D.10. POTENTIAL INTERACTIONS WITH THE ABIOTIC ENVIRONMENT

Comments/Questions of the expert(s)

Comment 1

I agree with the comments given by the applicant.

Comment 2

Provided information: sufficient

D.11. ENVIRONMENTAL MONITORING PLAN

D.11.1 General

Comments/Questions of the expert(s)

Comment 1

If seeds were imported by train containers for making food and feed, some monitoring has to be done to control if there are no maize plants along the railway roads. As already mentioned under a moderate winter seeds of maize can survive and can give plantlets in the next spring; so these plants need to be destroyed.

Comment 2

We support the recommendation of ACRE (2006) that provision of detailed arrangements for general surveillance post-market monitoring plans for the import and processing of grain from GM maize should be made a condition of any consent.

Monitoring and reporting on the possible establishment of feral populations should be a point of particular attention in the report to be delivered annually to the Commission. More details on the organisation and implementation of that monitoring would be useful.

D.11.2 Interplay between environmental risk assessment and monitoring

Comments/Questions of the expert(s)



D.11.3 Case-specific GM plant monitoring

Comments/Questions of the expert(s)

D.11.4 General surveillance of the impact of the GM plant

Comments/Questions of the expert(s)

Comment 1

The proposed general surveillance of the impact of the GM plant and the provisions concerning traceability and labelling satisfy.

Comment 2

The essential elements of the surveillance plan for maize MIR604 x GA21 appear vague. For example (Technical dossier p. 52, but see also Appendix 11_monitoring):

"i. The best possible chance of detecting an unanticipated adverse effect would be ensured by having an adequate number of people, with relevant experience, involved in the surveillance process. It follows, therefore, that those persons or organizations normally involved in the import and use of maize, will be in the best position to participate in a general surveillance plan.

ii. In order to allow detection of the broadest possible scope of unanticipated adverse effects it is proposed that general surveillance is performed by selected, existing networks, in combination with a common industry approach. ..."

Representative organisations have been identified among the importers, grains handlers and processors. However, the initiative and responsibility lie exclusively on these organisations, as illustrated by the "*Suggested questions to be asked as part of the General Surveillance Plan*" (p. 10 of Appendix 11)

D.11.5 Reporting the results of monitoring

Comments/Questions of the expert(s)



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Compilation of comments of experts in charge of evaluating the additional information received for application EFSA/GMO/UK/2007/48

Coordinator: Prof. Thierry Hance Experts: Pascal Cadot (Consultant), Peter Smet (Consultant) Domains of expertise of experts involved: Toxicology, immunology, alimentary allergology

INTRODUCTION

Dossier EFSA/GMO/UK/2007/48 concerns an application of the company Syngenta for the marketing of the genetically modified maize MIR604 x GA21 for food and feed applications under Regulation (EC) 1829/2003.

The application has been officially acknowledged by EFSA on 12 March 2008. On the same date EFSA started the formal three-month consultation period of the Member States.

Within the framework of this consultation eight Belgian experts formulated a number of comments to the dossier. See document BAC_2008_767 for an overview of all the comments and for the list of comments actually placed on the EFSAnet on 11 June 2008.

The opinion of the EFSA Scientific Panel on GMOs was adopted on 29 April 2010 (The EFSA Journal, 2010, 8 (5):1611)¹, and published together with the responses from the EFSA GMO Panel to comments submitted by the experts during the three-month consultation period.

On 20 May 2010 the opinion of EFSA was forwarded to the Belgian experts. They were invited to give comments and to react if needed to the answers given by the EFSA GMO Panel, in particular in case the comments formulated in their initial assessment of the dossier were not taken into account in the opinion of EFSA. In addition, all the complementary information sent by the company to EFSA after 11 June 2008 was provided to the experts who evaluated the toxicological and allergenic aspects of this GM maize. They were asked to check if the new data answer the questions/comments they formulated in 2008 and, in the case the questions remain unsolved, to consider if it has an impact on the safety of this GM maize.



¹ See: <u>http://www.efsa.europa.eu/en/scdocs/scdoc/1611.htm</u>

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List of comments received from the experts

D.7. INFORMATION ON ANY TOXIC, ALLERGENIC OR OTHER HARMFUL EFFECTS ON HUMAN OR ANIMAL HEALTH ARISING FROM THE GM FOOD/FEED

D.7.8 Toxicology

Comments/Questions of the expert(s)

Comment 1

I checked the additional information which was provided by the company. At the moment I have no further remarks concerning these dossiers.

As no information was provided concerning degradation of the Cry1Ab protein, the PAT protein and the PMI protein in simulated intestinal fluid, my previous remarks are still valid.

D.7.9 Allergenicity

Comments/Questions of the expert(s)

Comment 1

From an allergenicity point of view, my main concern in these files was the allergenicity of PMI in MIR604 maize.

The applicant provided the EFSA with a lot of additional information concerning the potential allergenicity of PMI. Besides the useless "proteolytic digestion test" and "thermostability test", all analyses were performed *in silico*.

In these in silico studies, sequence homology of PMI with Hev b 13 was confirmed. Homology with Ara h 1 was also mentioned. Further in silico analysis (sequence comparison and 3D-structure comparison) concluded on the non-allergenicity of PMI.

However, I still think that the best way to rule out allergenicity in this case is to perform skin testing with PMI on subjects allergic to Hev b13. Alternatively, Western blotting (or equivalent) with sera from Hev b 13 allergic subjects would also be valuable, instead of pages of discussion based on artificially determined limits of positivity and artificially determined 3D structures. It is OK to use modelling when no other way is possible, which is not the case here.

Therefore, my question on potential allergenicity of PMI is not answered, strictly speaking. Even if this does probably not represent a major threat (due to the low levels of expression, for example), simple experiments would allow EFSA to have clear-cut results on PMI allergenicity.

My second concern was about the testing of the overall allergenicity of the transgene plant. This is still not answered, but this was also not asked by EFSA. EFSA has never supported such testing on the basis that maize is not a major allergenic food (not in the "official" list of food allergens for labelling, I suppose). This is true at the moment being, but I still think that the role of such GMO evaluation is also to avoid that maize (or anything else) BECOMES an allergenic threat. For this reason, and even if the



risk of higher allergenicity in the GMO is minor, I am still in favour of testing the allergenicity of the whole transgene plant.

